FORM 4

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of MORRISON So			2. Issuer Name and Ticker or Trading Symbol BALL Corp [ BLL ]		onship of Reporting P all applicable) Director Officer (give title below)	Person(s) to Issuer  10% Owner  Other (specify below)		
BALL CORPORAT		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/15/2020		SR. V.P. and C.F.O.			
(Street) BROOMFIELD CO 80021-2510  (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (li 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/15/2020		<b>M</b> <sup>(1)</sup>		8,000	A	\$0.00	417,860.3005	D	
Common Stock	09/15/2020		F <sup>(2)</sup>		3,519	D	\$84.43	414,341.3005	D	
Common Stock	09/15/2020		M <sup>(3)</sup>		60,534	A	\$18.85	474,875.3005	D	
Common Stock	09/15/2020		F <sup>(4)</sup>		34,194	D	\$84.43	440,681.3005	D	
Common Stock								8,404.388	I	401(k) Plan <sup>(5)</sup>
Common Stock								100	I	By Son

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (li 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(6)	09/15/2020		М			8,000	(7)	(7)	Common Stock	8,000	\$0.00	38,922	D	
Stock Appreciation Rights (sars)	\$18.85	09/15/2020		M <sup>(8)</sup>			60,534	01/25/2013	01/25/2022	Common Stock	60,534	\$0.00	0.00	D	

#### **Explanation of Responses:**

- 1. Common stock acquired upon the lapse of Table II Restricted Stock Units based on the applicable Ball Corporation Stock and Cash Incentive Plan performance factor and in accordance with the provisions of said Plan.
- 2. Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II Performance Contingent Restricted Stock.
- 3. Common stock acquired upon the exercise of Stock Appreciation Rights (SARS).
- 4. Shares withheld for the cost of the Stock Appreciation Rights (SARS) and for payment of the tax obligation on the exercise of the Table II SARS.
- 5. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- 6. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock
- 7. Lapse of restricted stock units.
- 8. Exercise of Stock Appreciation Rights (SARS) pursuant to reporting person's December 6, 2019 10b5-1 Plan.

## Remarks:

/s/ Charles E. Baker, attorney-infact for Mr. Morrison

09/17/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.