FORM 4

#### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB A | PPR | OVA |
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| OMB Number:              | 3235-0287 |
|--------------------------|-----------|
| Estimated average burden |           |
| hours per response:      | 0.5       |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Ferson                    |         |            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol BALL Corp [ BLL ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                  |                       |  |  |  |
|--|---------|------------|--|---|----------------------------------|-----------------------|--|--|--|
| HAYES JOHN A   |         |            |  | X   | Director                         | 10% Owner             |  |  |  |
| (Last)   | (First) | (Middle)   |  | X   | Officer (give title below)       | Other (specify below) |  |  |  |
| (Last) (First) (Middle) BALL CORPORATION 10 LONGS PEAK DR. |         | (aa.e)     | 3. Date of Earliest Transaction (Month/Day/Year) 12/19/2019          |   | CHAIRMAN, PRESIDEN               | IT & C.E.O.           |  |  |  |
| 10 LONGS PEAK  | DR.     |            |  |   |                                  |                       |  |  |  |
| (Street)   |         |            | 4. If Amendment, Date of Original Filed (Month/Day/Year)             | 6 Indivi  | dual or Joint/Group Filing (Chec | ck Applicable Line)   |  |  |  |
| BROOMFIELD CO 80021-2510                                   |         | 80021-2510 |  | X   | ,                                |                       |  |  |  |
|  |         |            |  |   | Form filed by More than One      | Reporting Person      |  |  |  |
| (City)   | (State) | (Zip)      |  |   |                                  |                       |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction Di   |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |                           |            | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s) (Instr. | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------|---|--|---------------------------|------------|--|---|---|
|                                 |  |   | Code             | v | Amount   | (A) or (D) Price 3 and 4) |            |  | (Instr. 4)  |   |
| Common Stock                    | 12/19/2019                                 |   | S <sup>(1)</sup> |   | 14,911   | D                         | \$63.87(2) | 439,090.7739   | D   |   |
| Common Stock                    | 12/19/2019                                 |   | S <sup>(1)</sup> |   | 500  | D                         | \$64.65(3) | 438,590.7739   | D   |   |
| Common Stock                    |  |   |                  |   |  |                           |            | 9,305.735  | I   | 401(k)<br>Plan <sup>(4)</sup>                       |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (li<br>8) |   | 5. Numl<br>Derivati<br>Securiti<br>Acquire<br>or Disp<br>(D) (Ins<br>and 5) | ive<br>ies<br>ed (A)<br>osed of | 6. Date Exerc<br>Expiration Da<br>(Month/Day/) | ate                | 7. Title and Ai<br>Securities Un<br>Derivative Se<br>3 and 4) | derlying                         | Derivative | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|--|---|---------------------------------|---|---|---------------------------------|--|--------------------|---|----------------------------------|------------|--|--|--|
|  |   |  |   | Code                            | v | (A)   | (D)                             | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |            | (Instr. 4)   |  |  |

# Explanation of Responses:

- 1. Sale of stock pursuant to reporting person's August 30, 2019, 10b5-1 Plan.
- 2. This transaction was executed in multiple trades at prices ranging from \$63.50 to \$64.46. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- 3. This transaction was executed in multiple trades at prices ranging from \$64.54 to \$64.80. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- 4. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions

## Remarks:

/s/ Charles E. Baker attorney-infact for Mr. Hayes

12/19/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.