FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVA |
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| OMB Number: | 3235-0287 |
|--------------------------|-----------|
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| hours per response: | 0.5 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | 2. Issuer Name and Ticker or Trading Symbol BALL Corp BLL | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|---------------------|---------|------------|---|---|----------------------------------|-----------------------|--|--|
| <u>HATES JOHN A</u> | | | 1 | X | Director | 10% Owner | | |
| (Last) | (First) | (Middle) | | X | Officer (give title below) | Other (specify below) | | |
| BALL CORPORATION | | () | 3. Date of Earliest Transaction (Month/Day/Year) 05/01/2019 | CHAIRMAN, PRESIDENT & C.I | | | | |
| 10 LONGS PEAK | DR. | | | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv | dual or Joint/Group Filing (Chec | ck Applicable Line) | | |
| BROOMFIELD | CO | 80021-2510 | | X | Form filed by One Reporting I | Person | | |
| | | | | | Form filed by More than One | Reporting Person | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|--|--|---------------|----------|--|---|--|
| | | | Code V | | Amount | (A) or (D) | Price | 3 and 4) | | (Instr. 4) |
| Common Stock | 05/01/2019 | | M ⁽¹⁾ | | 20,720 | A | \$12.613 | 488,849.5461 | D | |
| Common Stock | 05/01/2019 | | F ⁽²⁾ | | 11,609 | D | \$58.67 | 477,240.5461 | D | |
| Common Stock | | | | | | | | 9,265.51 | I | 401(k) Plan ⁽³⁾ |
| Common Stock | | | | | | | | 147,782 | I | JAH Irrevocable Trust ⁽⁴⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (Ir 8) | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
|--|---|--|---|---------------------------------|---|-----|--------|--|--------------------|--|-------------------------------------|---|--|--|---------------------------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Stock Appreciation Rights (sars) | \$12.613 | 05/01/2019 | | M ⁽⁵⁾ | | | 20,720 | 01/27/2011 | 01/27/2020 | Common Stock | 20,720 | \$0.00 | 145,040 | D | |

Explanation of Responses:

- 1. Common stock acquired upon the exercise of Stock Appreciation Rights (SARS).
- 2. Shares withheld for the cost of the Stock Appreciation Rights (SARS) and for payment of the tax obligation on the exercise of the Table II SARS.
- 3. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions
- $4. \ The \ reporting \ person \ expressly \ disclaims \ beneficial \ ownership \ of \ these \ securities.$
- $5.\ Exercise\ of\ Stock\ Appreciation\ Rights\ (SARS)\ pursuant\ to\ reporting\ person's\ February\ 8,\ 2019,\ 10b5-1\ Plan.$

Remarks:

/s/ Charles E. Baker attorney-infact for Mr. Hayes

05/03/2019

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.