SEC Form 4

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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X Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

1. Name and Address of Reporting Person* Bouts Erik	2. Issuer Name **and** Ticker or Trading Symbol BALL CORP [BLL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(Last) (First) (Middle)	
LEUTSCHENBACHSTRASSE 52	3. Date of Earliest Transaction (Month/Day/Year)
ZURICH,	07/27/2016

	Director	10% Owner
X	Officer (give title below)	Other (specify below)

Sr. VP & COO Globl Mtl Bev Pkg

(Street)	
V8	4. If Amendment, Date of Original Filed (Month/Day/Year) 11/09/2016
(City) (State) (Zip)	

6. Individual or Joint/Group Filing (Check Applicable Line)
X Form filed by One Reporting Person
  Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock								12,488	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	07/27/2016		A(2)		14,894		(3)	(3)	Common Stock	14,894	$71.15	41,363	D	
Stock Appreciation Rights (sars)	$66.15							02/04/2016	02/04/2025	Common Stock	28,203		28,203	D	
Stock Appreciation Rights (sars)	$66.1							01/27/2017	01/27/2026	Common Stock	25,939		25,939	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

2. Special Acquisition Restricted Stock Unit (RSU) awards were granted to certain employees on July 27, 2016, subsequent to the completion of the acquisition of Rexam PLC and the sale of the divestment business to Ardagh Group. Due to the complexity associated with the consolidation of the businesses and related financial information, the calculations for such awards were finalized on November 8, 2016.

3. Restricted Stock Units awarded under the Ball Corporation Stock and Cash Incentive Plan.

Remarks:

Reporting person is no longer subject to Section 16.

/s/ Charles E. Baker, attorney-in-fact for Mr. Bouts	12/22/2016
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.