FORM 4

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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|--------------------------|-----------|
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| hours per response:      | 0.5       |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address o <u>Kaufman David</u> |              |          | 2. Issuer Name and Ticker or Trading Symbol BALL Corp [ BLL ] | (Check    | ionship of Reporting Person(s<br>all applicable)<br>Director<br>Officer (give title        | s) to Issuer  10% Owner Other (specify |  |
|--|--------------|----------|---|-----------|--|--|--|
| (Last)<br>9200 W. 108TH CI                 | (First) RCLE | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/27/2021   | Α         | President, Ball Ae   | below)<br>serospace                    |  |
| (Street) WESTMINSTER                       | СО           | 80021    | 4. If Amendment, Date of Original Filed (Month/Day/Year)      | 6. Indivi | dual or Joint/Group Filing (Ch<br>Form filed by One Reportin<br>Form filed by More than Or | ng Person                              |  |
| (City)                                     | (State)      | (Zip)    |   |           |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) |        | Transaction Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |         | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|--------|--------------------------|---|--|---------------|---------|--|---|---|--|
|                                 |  | Code V |                          | v | Amount   | (A) or<br>(D) | Price   | (Instr. 3 and 4)   |   | (111311. 4)   |  |
| Common Stock                    | 08/27/2021                                 |        | S                        |   | 1,060  | D             | \$94.58 | 4,156.4161   | D <sup>(1)</sup>  |   |  |
| Common Stock                    |  |        |                          |   |  |               |         | 5.384  | $\mathbf{I}^{(1)}$  | 401(k)<br>Plan <sup>(2)</sup>                                     |  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | Expiration Date     |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                  | Derivative |                              | Ownership<br>Form:<br>Direct (D) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|--|---|--|---|---|---|--|-----|---------------------|--------------------|--|----------------------------------|------------|------------------------------|----------------------------------|---------------------------------------|--|
|  |   |  |   | Code                                    | v | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |            | Transaction(s)<br>(Instr. 4) |                                  |                                       |  |

#### Explanation of Responses:

- 1. The securities included herein represent only those securities that are required to be disclosed pursuant to Section 16(a) of the Securities Exchange Act of 1934 in connection with the specific transaction(s) reported herein. The reporting person is the beneficial owner of additional shares and/or derivative securities of the issuer that are not disclosed on this Form 4. For additional information regarding the reporting person's ownership of issuer securities, refer to Forms 4 previously filed by the reporting person.
- 2. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.

/s/ Charles E. Baker, attorney-infact for Mr. Kaufman 08/31/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.