FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  MORRISON SCOTT C |              |          | 2. Issuer Name and Ticker or Trading Symbol BALL Corp [ BLL ] |            | onship of Reporting Person(s)<br>all applicable)<br>Director<br>Officer (give title           | 10% Owner<br>Other (specify |  |  |  |  |  |
|--|--------------|----------|---|------------|---|-----------------------------|--|--|--|--|--|
| (Last)<br>9200 W. 108TH CII                                | (First) RCLE | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 01/31/2021   |            | E.V.P. and C.F.   | below)<br>O.                |  |  |  |  |  |
| (Street) WESTMINSTER                                       | СО           | 80021    | 4. If Amendment, Date of Original Filed (Month/Day/Year)      | 6. Individ | dual or Joint/Group Filing (Che<br>Form filed by One Reporting<br>Form filed by More than One | Person                      |  |  |  |  |  |
| (City)   | (State)      | (Zip)    |   |            | ,   |                             |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |               |         | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|---|---|---|---------------|---------|--|---|---|
|                                 |  |   | Code                                    | v | Amount  | (A) or<br>(D) | Price   | Transaction(s) (Instr.<br>3 and 4)                                     |   | (Instr. 4)  |
| Common Stock                    | 01/31/2021                                 |   | М                                       |   | 16,890(1)   | A             | \$88.02 | 352,105.8023   | D <sup>(2)</sup>  |   |
| Common Stock                    | 01/31/2021                                 |   | F                                       |   | 5,758(3)  | D             | \$88.02 | 346,347.8023   | D <sup>(2)</sup>  |   |
| Common Stock                    |  |   |   |   |   |               |         | 8,427.58   | I <sup>(2)</sup>  | 401(k)<br>Plan <sup>(4)</sup>                       |
| Common Stock                    |  |   |   |   |   |               |         | 147,028  | I <sup>(2)</sup>  | Trust   |
| Common Stock                    |  |   |   |   |   |               |         | 100  | I <sup>(2)</sup>  | By Son  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   | 5. Num<br>Derivat<br>Securit<br>Acquir<br>Dispos<br>(D) (Ins<br>and 5) | tive<br>ties<br>ed (A) or<br>sed of | Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|--|---|---------------------------------|---|--|-------------------------------------|----------------------------------|--------------------|--|-------------------------------------|---|--|--|--|
|  |   |  |   | Code                            | v | (A)  | (D)                                 | Date<br>Exercisable              | Expiration<br>Date | Title  | Amount<br>or<br>Number of<br>Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Restricted Stock<br>Units                        | (5)   | 01/31/2021                                 |   | M <sup>(6)</sup>                |   |  | 16,478                              | (6)                              | (6)                | Common<br>Stock  | 16,478                              | \$0   | 30,905   | D <sup>(2)</sup>   |  |

### **Explanation of Responses:**

- 1. Common stock acquired upon the lapse of Table II Performance Contingent Restricted Stock Units based on the applicable Ball Corporation Stock and Cash Incentive Plan performance factor and in accordance with the provisions of said Plan.
- 2. The securities included herein represent only those securities that are required to be disclosed pursuant to Section 16(a) of the Securities Exchange Act of 1934 in connection with the specific transaction(s) reported herein. The reporting person is the beneficial owner of additional shares and/or derivative securities of the issuer that are not disclosed on this Form 4. For additional information regarding the reporting person's ownership of issuer securities, refer to Forms 4 previously filed by the reporting person and the Compensation Discussion & Analysis section of the issuer's 2020 Proxy Statement.
- 3. Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II Restricted Stock Units.
- 4. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions
- 5. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- 6. Lapse of restrictions on Performance Contingent Restricted Stock Units as a result of attainment of the performance criteria.

/s/ Charles E. Baker, attorney-in-02/02/2021 fact for Mr. Morrison

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.