## SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

## FORM 8-K

Current Report Pursuant to Section 13 or 15(D) of the Securities Exchange Act of 1934

**December 12, 2016** 

(Date of earliest event reported)

# **BALL CORPORATION**

(Exact name of Registrant as specified in its charter)

Indiana 001-07349 35-0160610
(State of (Commission (IRS Employer Incorporation) File No.) Identification No.)

10 Longs Peak Drive, P.O. Box 5000, Broomfield, CO 80021-2510

(Address of principal executive offices, including ZIP Code)

(303) 469-3131

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- □ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- □ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- □ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Ball Corporation Current Report on Form 8-K Dated December 13, 2016

### Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On December 12, 2016, the Company announced that Erik Bouts will be leaving Ball Corporation at the end of the month and that Daniel W. Fisher has been named senior vice president and COO, global beverage packaging.

The Company expects to announce a successor for the North and Central America beverage packaging leadership position during the first quarter of 2017.

The Company's press release announcing the departure of Mr. Bouts and the appointment of Mr. Fisher is included with this Form 8-K as Exhibit 99.1

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The following is furnished as an exhibit to this report:

Exhibit 99.1

Ball Corporation Press Release dated December 12, 2016.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

BALL CORPORATION (Registrant)

By: /s/ Scott C. Morrison

Name: Scott C. Morrison
Title: Senior Vice President and

Chief Financial Officer

Date: December 13, 2016

## Ball Corporation Form 8-K **December 13, 2016**

## EXHIBIT INDEX

Description Exhibit 99.1

Ball Corporation Press Release dated December 12, 2016.



News Release For Immediate Release www.ball.com Investor Contact: Ann T. Scott (303) 460-3537, <a href="mailto:ascott@ball.com">ascott@ball.com</a> Media Contact: Renee Robinson (303) 460-2476, <a href="mailto:rarobins@ball.com">rarobins@ball.com</a>

## Dan Fisher Named SVP and COO, Global Beverage Packaging; Erik Bouts Leaving Ball

**BROOMFIELD, Colo., Dec. 12, 2016** — <u>Ball Corporation</u> (NYSE: BLL) today announced that Erik Bouts is leaving the company at the end of the month and that Daniel W. Fisher has been named senior vice president, Ball Corporation, and COO, global beverage packaging.

"Erik joined the company just prior to the announcement of the Rexam acquisition and much has changed since then," said John Hayes, chairman, president and chief executive officer. "He has made significant contributions to our global beverage can operations while the company navigated a complex acquisition and, given the change in circumstances including the location of his role from Zurich to our headquarters in Colorado, we both mutually agreed to this decision. We wish Erik well in his future endeavors."

Fisher joined Ball in 2010 as vice president, finance, North American metal beverage operations. Since 2014, he has served as president, Beverage Packaging North and Central America, where he has led growth initiatives, increased profitability and EVA dollar generation.

"We are very excited for Dan and confident that his management skills, financial acumen and deep knowledge of the beverage can industry will result in a seamless leadership transition both internally," Hayes said.

The company expects to announce a successor for the Beverage Packaging North and Central America leadership position during the first quarter of 2017.

#### **About Ball Corporation**

Ball Corporation supplies innovative, sustainable packaging solutions for beverage, food and household products customers, as well as aerospace and other technologies and services primarily for the U.S. government. Ball Corporation and its subsidiaries employ 18,700 people worldwide and reported pro forma 2015 sales of \$11.0 billion. For more information, visit <a href="https://www.ball.com">www.ball.com</a>, or connect with us on <a href="facebook">Facebook</a> or <a href="mailto:Twitter">Twitter</a>.

#### Forward-Looking Statements

This release contains "forward-looking" statements concerning future events and financial performance. Words such as "expects," "anticipates," "estimates," "believes," "targets," "likely" and similar expressions typically identify forward-looking statements, which are generally any statements other than statements of historical fact. Such statements are based on current expectations or views of the future and are subject to risks and uncertainties, which could cause actual results or events to differ materially from those expressed or implied. You should therefore not place undue reliance upon any forward-looking statements and any of such statements should be read in conjunction with, and, qualified in their entirety by, the cautionary statements referenced below. The company undertakes no obligation to publicly update or revise any forward-looking statements, whether as a result of new information, future events or otherwise. Key factors, risks and uncertainties that could cause actual outcomes and results to be different are summarized in filings with the Securities and Exchange Commission, including Exhibit 99 in our Form 10-K, which are available on our website and at www.sec.gov. Additional factors that might affect: a) our packaging segments include product demand fluctuations; availability/cost of raw materials; competitive packaging, pricing and substitution; changes in climate and weather; competitive activity; failure to achieve synergies, productivity improvements or cost reductions; mandatory deposit or other restrictive packaging laws; customer and supplier consolidation, power and supply chain influence; changes in major customer or supplier contracts or a loss of a major customer or supplier; political instability and sanctions; currency controls; and changes in foreign exchange or tax rates; b) our aerospace segment include funding, authorization, availability and returns of government and commercial contracts; and delays, extensions and technical uncertainties affecting segment contracts; c) t

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Page 1

environmental, health and workplace safety, including U.S. FDA and other actions or public concerns affecting products filled in our containers, or chemicals or substances used in raw materials or in the manufacturing process; technological developments and innovations; litigation; strikes; labor cost changes; rates of return on assets of the company's defined benefit retirement plans; pension changes; uncertainties surrounding geopolitical events and governmental policies both in the U.S. and in other countries, including the U.S. government elections, budget, sequestration and debt limit; reduced cash flow; ability to achieve cost-out initiatives and synergies; interest rates affecting our debt; and successful or unsuccessful acquisitions and divestitures, including with respect to the Rexam PLC acquisition and its integration, or the associated divestiture; the effect of the acquisition or the divestiture on our business relationships, operating results and business generally.

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